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Computing fullerene encapsulation of non-metallic molecules: $N_2@C_{60}$ and $NH_3@C_{60}$

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Some endohedral fullerenes have been considered as possible candidate species for molecular memories. Recently, the encapsulation inside the fullerene cages has been extended from atoms to small molecules, for example the nitrogen molecule was placed inside the fullerene cage. The observed $N_2@C_{60}$ endohedral is computed in the paper together with $NH_3@C_{60}$, which was not yet observed. The computations are based on structural optimizations using density-functional theory (DFT) methods. In the optimized structures, the analytical harmonic vibrational analysis was carried out and the encapsulation energetics were evaluated using the second order Møller-Plesset (MP2) perturbation treatment. The lowest-energy structure has the N_2 unit oriented towards a pair of parallel pentagons so that the complex exhibits D_{5d} symmetry. At the MP2 level, the encapsulation of N_2 into C_{60} brings a potential energy gain of -9.3 kcal/mol while that for NH_3 is -5.2 kcal/mol. The entropy term is also evaluated, yielding the standard Gibbs-energy change at room temperature for the encapsulation of N_2 and NH_3 of -2.6 and 1.5 kcal/mol, respectively. Some computed structural and vibrational characteristics are also reported. Emerging broader landscape of future applications of such encapsulates in nanoscience and nanotechnology is discussed.

Keywords: Endohedral fullerenes; Bonding and stability; Gibbs-energy evaluations; Molecular encapsulation; Carbon-based nanoscience

1. Introduction

$N_2@C_{60}$ and $N_2@C_{70}$ were first prepared by heating under high pressure by Peres *et al.* [1]. Out of two thousand C_{60} molecules only one was observed to incorporate N_2 . The nitrogen molecule containing endohedrals were present even after several-hours of heating at 500 K. $N_2@C_{60}$ was also reported [2,3] in the chromatographic separation after the nitrogen-ion implantation into C_{60} . This ion bombardment is primarily used for the $N@C_{60}$ production [4,5] with very low yields ($Li@C_{60}$ was also prepared by the ion bombardment method [6]). Still, $N@C_{60}$ and its derivatives were studied vigorously [7–11]. Other non-metallic endohedrals are represented by complexes of fullerenes with rare gas atoms, in particular with He [12–16]. Very recently, molecular hydrogen [17] and also a water molecule [18] were placed inside open-cage fullerenes. This paper presents computations on $N_2@C_{60}$ and $NH_3@C_{60}$ in order to judge their stability and compare with other available endohedral data [19–23], especially for $N@C_{60}$.

2. Computations

The computations started with a search for stationary points by geometry optimizations using density-functional theory (DFT) methods. The standard 3-21G basis set was used at this stage and applied in two different DFT approaches. One of them employs Becke's [24] three parameter exchange functional with the non-local Lee-Yang-Parr [25] correlation functional (B3LYP) with the above basis set, i.e. the B3LYP/3-21G treatment. The other approach uses Perdew and Wang's [26] exchange and correlation functionals known as PW91. The geometry optimizations were carried out using the analytical energy gradient. In the optimized stationary points, the analytical harmonic vibrational analysis was carried out in order to check their physical nature by the number of imaginary vibrational frequencies. The ultrafine grid in numerical integrations of the DFT functional and the tight SCF convergence criterion were used. The reported computations have been carried out with the Gaussian program packages [27,28].

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In the optimized B3LYP/3-21G and PW91/3-21G geometries, additional single point energy calculations were carried out using the second order Møller-Plesset (MP2) perturbation treatment [29,30] with frozen core (FC) option, in which inner-shells are excluded from the correlation calculation, with the standard 6-31G* basis set (MP2 = FC/6-31G*). The basis set superposition error (BSSE) was estimated by the Boys-Bernardi counterpoise method [31].

In addition to the interaction (dimerization or stabilization) energy, the standard entropy change upon the encapsulation was also evaluated (the 1 atm standard-state choice). The conventional rigid-rotator and harmonic-oscillator partition functions [32] were used and no frequency scaling was applied.

3. Results and discussion

The B3LYP treatment represents a prevailing choice at present for general thermochemical computations [33]. The B3LYP functional has also been the most common DFT option in the computations [34,35] of fullerenes and endohedrals. However, it was pointed out recently [36,37] that the Becke exchange functional due to its erroneous asymptotic behaviour does not produce physically acceptable description of the attraction between weakly bonded systems. It was shown [38] that the BLYP or

B3LYP treatments do not produce weak energy minima in the long-range region otherwise well established by the MP2 or coupled cluster calculations. It was suggested [36–38] to use Perdew and Wang's [26] exchange and correlation functionals PW91 instead. The PW91 functional at least partly recovers the attraction [38]. Its error in the computed binding energies [38] for the hydrogen-bonded complexes was 20% in the worst case though somewhat bigger in some still weaker complexes. With respect to this finding, the DFT computations in this paper are carried out not only with the B3LYP but also with PW91 functionals.

In both the B3LYP/3-21G and PW91/3-21G treatments, the lowest-energy structure $N_2@C_{60}$ found has the N_2 unit oriented towards a pair of parallel pentagons so that the complex exhibits D_{5d} symmetry (5:5 structure, see Figure 1). Such a minimum energy structure was also found for $NH_3@C_{60}$ (Figure 2). The stationary points were reached without symmetry constraints so that some numerical inaccuracy could influence the point-group determination. In fact, the G98 symmetry finder extracts only C_i symmetry for $N_2@C_{60}$. However, application of a procedure [39] allowing for variable precision of the optimized coordinates concludes the D_{5d} symmetry while in the $NH_3@C_{60}$ we deal with C_s symmetry. Moreover, the encapsulates are to exercise motions around the equilibrium position with an averaging effect. It has been known [40] that more symmetric structures for

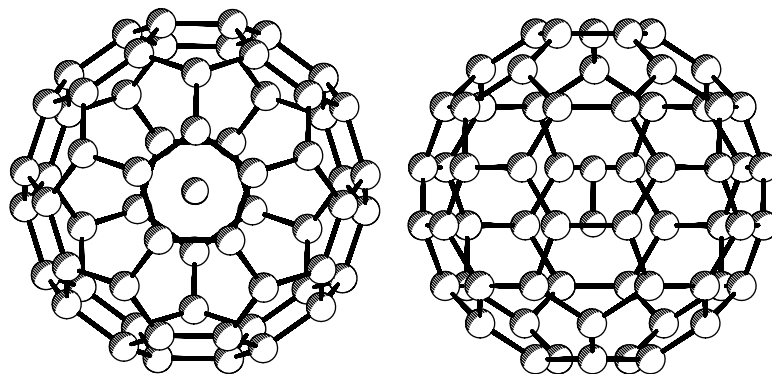


Figure 1. Two views of the PW91/3-21G optimized structure of $N_2@C_{60}$.

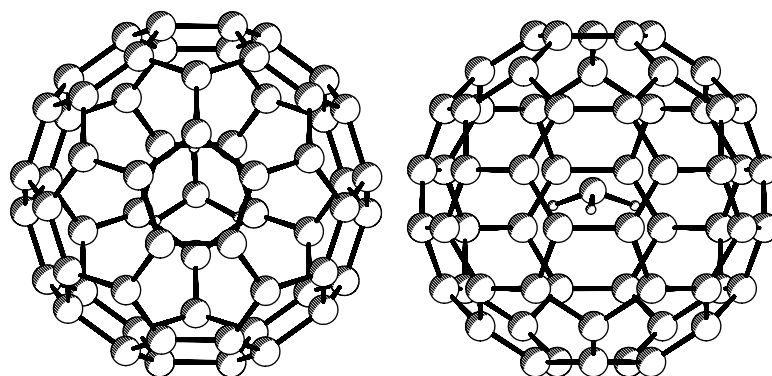


Figure 2. Two views of the B3LYP/3-21G optimized structure of $NH_3@C_{60}$.

endohedrals can in fact be saddle points and not local minima. Then, it is sometimes actually more effective to optimize their structures without symmetry constraints. In any case, the vibrational analysis is an important check for the optimized endohedral structures. The harmonic vibrational analysis confirms that the 5:5 structures are indeed local energy minima.

The interaction hypersurface is very shallow as can be illustrated by the following data. Another optimized $N_2@C_{60}$ stationary point has the N_2 unit oriented towards a pair of parallel hexagons—a 6:6 structure. The 6:6 structure is however not a local energy minimum but a saddle point. Still, it is very close in energy to the 5:5 structure. In both the B3LYP/3-21G and PW91/3-21G approaches the 6:6 species is located less than 0.1 kcal/mol above the 5:5 structure. This feature documents that the interaction hypersurface is indeed very flat and at elevated temperatures the encapsulate unit should exhibit large-amplitude motions. In the 5:5 $N_2@C_{60}$ equilibrium structure, the closest computed N—C distance is 3.046 and 3.049 Å in the B3LYP/3-21G and PW91/3-21G method, respectively. The Mulliken partial charge on the N atoms is positive but very small, about 0.01, so that the charge transfer to the cage is negligible.

The computed interaction or stabilization energies have thermochemically the meaning of potential-energy change along the formal encapsulation processes:

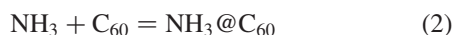


Table 1 presents the MP2 = FC/6-31G* encapsulation energies before and after the BSSE correction. The value of -17.5 kcal/mol for $N_2@C_{60}$ before the BSSE correction corresponds to -7.3 kcal/mol computed [22] at the same level for $N@C_{60}$. After addition of the BSSE correction, the MP2 = FC/6-31G* stabilization for $N_2@C_{60}$ is reduced to still significant -9.28 kcal/mol while the stabilization for $NH_3@C_{60}$ is -5.23 kcal/mol. In the latter case, the stabilization can be slightly increased upon application of the MP2 = FC/6-31G(**) level: the MP2 = FC/6-31G* term of -16.56 kcal/mol before BSSE changes to -17.89 kcal/mol in the MP2 = FC/6-31G(**) approach for $NH_3@C_{60}$. Effect of the functional used in the geometry optimization is negligible—for example in

Table 1. The MP2 = FC/6-31G* encapsulation energy ΔE_{pot} for $N_2@C_{60}$ and $NH_3@C_{60}$ with the 5:5 orientation†

Species	ΔE_{pot} (kcal/mol)	
	MP2=FC/6-31G*	
	MP2=FC/6-31G*	MP2=FC/6-31G* & BSEE‡
$N_2@C_{60}$	-17.52	-9.28
$NH_3@C_{60}$	-16.56	-5.23

† See Figures 1 and 2.

‡ Estimated according to the Boys-Bernardi counterpoise method [31].

the $NH_3@C_{60}$ case change from the B3LYP/3-21G to PW91/3-21G geometry changes the encapsulation energy from -5.23 to just -5.25 kcal/mol. It is however likely [21] that the values will still be modified when larger basis-set computations will be possible.

There are 180 vibrational modes in $N_2@C_{60}$. One of them is the N—N bond stretching mode [41], five are vibrations of the N_2 unit against the cage, and the remaining 174 modes are skeletal vibrations of the C_{60} cage. The N—N bond stretching frequency is only slightly affected by the encapsulation. Interestingly the N—N frequency increases in the B3LYP/3-21G approach but decreases in the PW91/3-21G treatment. The shift is large enough to be significant in observation. The changes in the N—N frequency upon encapsulation are parallel with the changes in the N—N bond distance as in the B3LYP/3-21G computations the bond is slightly compressed while in the PW91/3-21G case the bond is expanded by about 0.0003 Å. The five frequencies for the N_2 -cage vibrations are low but they could be observed as it was recently possible [42,43] for $La@C_{82}$. The inter-system frequencies at the B3LYP/3-21G level are 25.4, 38.9, 83.6, 84.6, and 126.1 cm^{-1} while for the PW91/3-21G approach they read 45.9, 49.1, 55.9, 65.3, and 106.7 cm^{-1} .

The high symmetry of C_{60} considerably simplifies [44,45] its vibrational spectrum, for example, only four T_{1u} three-fold degenerate modes are actually active in its IR spectrum. Once the high symmetry is reduced upon introduction of an encapsulate, the symmetry selection rules will not be the same. However, in fact out of the 174 cage modes in $N_2@C_{60}$ just twelve show significant IR intensities and similarly for $NH_3@C_{60}$ (table 2). Those IR active modes are actually rooted in the original T_{1u} three-fold degenerate modes of C_{60} .

The stabilization energies from equations (1) and (2) are the potential-energy changes along the reactions. In order to get the related enthalpy change ΔH_T^o at a temperature T , the zero-point vibrational energy and heat-content functions are to be added though the change will be small. Once the corresponding entropy change ΔS_T^o is

Table 2. IR active vibrational frequencies (cm^{-1}) of $N_2@C_{60}$ and $NH_3@C_{60}$ with the 5:5 orientation.

C_{60}^*	$N_2@C_{60}$	C_{60}^*	$NH_3@C_{60}$
PW91/3-21G	PW91/3-21G	B3LYP/3-21G	B3LYP/3-21G
483.4	478.3	511.7	503.6
	478.7		503.9
	479.8		508.9
572.3	571.7	582.3	581.9
	571.8		582.4
	571.9		582.5
1157.8	1157.2	1177.4	1177.6
	1157.3		1177.8
	1157.7		1177.8
1436.1	1435.7	1453.0	1452.4
	1435.8		1452.9
	1436.8		1455.2

* All the four IR active species in the pristine C_{60} are triply degenerate T_{1u} modes (the observed [45] fundamentals of gas-phase C_{60} : 527.1, 570.3, 1169.1 and 1406.9 cm^{-1}).

Table 3. The computed standard* thermodynamic terms at room temperature for formation of $N_2@C_{60}$ and $NH_3@C_{60}$ with the 5:5 orientation (kcal/mol).

Process/term†	Approach‡	
$N_2 + C_{60} = N_2@C_{60}$	Rigid	Non-rigid
ΔE_{pot}	−9.28	−9.28
ΔH_{298}^o	−8.58	−8.58
ΔTS_{298}^o	−5.95	−7.01
ΔG_{298}^o	−2.64	−1.58
$NH_3 + C_{60} = NH_3@C_{60}$	Rigid	Non-rigid
ΔE_{pot}	−5.23	−5.23
ΔH_{298}^o	−3.92	−3.92
ΔTS_{298}^o	−5.46	−7.88
ΔG_{298}^o	1.53	3.96

* The standard state—ideal gas phase at 101325 Pa pressure.

† The potential energy change ΔE_{pot} , and the standard changes of enthalpy ΔH_{298}^o , entropy ΔTS_{298}^o , Gibbs energy ΔG_{298}^o at room temperature $T = 298.15$ K.

‡ Two approaches to the encapsulate motions are described in the text.

evaluated, one can deal with the thermodynamics controlling Gibbs-energy term ΔG_T^o . Using the partition functions from the DFT calculations, the $T\Delta S_T^o$ term at room temperature for $N_2@C_{60}$ and $NH_3@C_{60}$ comes as −5.95 and −5.46 kcal/mol, respectively (table 3).

Let us mention that the entropy evaluations require a due care paid to the symmetry numbers. One issue is that quantum chemistry programs rarely produce the correct symmetry number [46,47] of 60 for C_{60} . However, more important aspect deals with the averaging effect of the encapsulate motions. As the encapsulate moves nearly freely inside the cage, it will effectively recover the icosahedral symmetry of the system. There are thus two approaches to the effects of the encapsulate motions upon the symmetry number: (i) the static symmetry number derived for a rigid endohedral, or (ii) effectively icosahedral symmetry created by non-rigid, fluxional location of the encapsulate. Table 3 shows that the differences between the rigid and non-rigid approach are rather moderate at room temperature.

If the entropy values are combined with the enthalpy terms derived from the BSSE corrected MP2=FC/6-31G* stabilization energy, the ΔG_T^o standard changes for reactions (1) and (2) at room temperature read −2.64 and 1.53 kcal/mol, respectively. The latter terms represent the driving thermodynamic force for the encapsulation processes according to the presently available computational data. The value should be further checked, especially with respect [48] to the BSSE correction and basis-set finiteness in general, when computationally feasible. There are other interesting non-metal fullerene encapsulates to be studied, like $H_2O@C_{60}$ [1] or $H_2@C_{60}$ [49].

Interestingly, the computed entropy changes for encapsulation are quite similar to the dimerization entropy for water in gas phase [50,51]. There are no entropy data available yet for formation of other fullerene endohedrals, however, it is clear that the stabilization ΔG_T^o terms would represent a unified thermodynamic stability measure. For a complete gas-phase picture, the ΔG_T^o terms or the encapsulation equilibrium constants should be combined

[52] with the typical partial pressures accessible to the fullerene cage and encapsulate under particular experimental conditions. There are however essential kinetic [53,54] and in particular catalytic [16,55–57] aspects obviously involved, too.

4. Outlook

All the above computational steps clearly represent important factors to be mastered before more practical applications of fullerene/nanotube-based computational nanoscience and nanotechnology can be launched [58–65]. At present, considerations about quantum computing focus on a usage of spin states of a nitrogen atom encapsulated in the C_{60} cage, $N@C_{60}$ [4–11,19–23,59,66]. The state of the electron spin in an external magnetic field could be read by NMR or ESR pulse. Molecular transistors based on fullerenes or nanotubes represent another interesting option for molecular electronics. Nano-memory devices could also be based on nanotubes filled with fullerenes, known as peapods. Still another option for molecular memories is suggested [58] by fullerene endohedrals allowing for two locations of the encapsulate. As long as the two locations are distinguishable, they can in principle serve for coding the information bit. The stability and distinguishability of the sites are closely related to the encapsulate motions [66–68]. This emerging picture stresses an indispensable role of computational modelling in screening and tailoring agents of nanoscience and nanotechnology.

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